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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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U.S. Department of Commerce
Patent and Trademark Office

TRANSMITTAL FORM

(to be used for all correspondence during pendency of
filed application)

Application Number	09/976,841
Filing Date	October 13, 2001
First Named Inventor	Jeffrey C. Hawkins
Group Art Unit Number	2681
Examiner Name	Unknown
Attorney Docket Number	21495-05938

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Total Number of Pages in This Submission

23

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed (\$1,896.00) <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input checked="" type="checkbox"/> Preliminary Amendment: 20 Pages <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Amir H. Raubvogel, Reg. No.: 37,070	Dated:	April 4, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:			
Typed or Printed Name:	Amir H. Raubvogel	Dated:	April 4, 2003
Express Mail Mailing Number (optional):			

21495/05938/DOCS/1339842.1



FEE TRANSMITTAL for FY 2003 Patent fees are subject to annual revision. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Complete if Known		
		Application Number	09/976,841	
		Filing Date	October 13, 2001	
		First Named Inventor	Jeffrey C. Hawkins	
		Examiner Name	Unknown	
TOTAL AMOUNT OF PAYMENT (\$)		1,896.00	Art Unit	2681
		Attorney Docket No.	21495-05938	Technology Center 2600

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METHOD OF PAYMENT (check all that apply) <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 19-2555 Deposit Account Name: Fenwick & West LLP The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		FEE CALCULATION (continued)	
1. BASIC FILING FEE Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid 1001 750 2001 375 Utility filing fee 1002 330 2002 165 Design filing fee 1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee 1005 160 2005 80 Provisional filing fee SUBTOTAL (1) (\$) 0.00		3. ADDITIONAL FEES Large Entity Small Entity Fee Description Fee Paid 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 2053 130 Non-English specification 1812 2,520 2052 25 For filing a request for <i>ex parte</i> reexamination 1804 920* 2053 130 Requesting publication of SIR prior to Examiner action 1805 1,840* 2052 25 Requesting publication of SIR after Examiner action 1251 110 2053 130 Extension for reply within first month 1252 410 2052 25 Extension for reply within second month 1253 930 2253 465 Extension for reply within third month 1254 1,450 2254 725 Extension for reply within fourth month 1255 1,970 2255 985 Extension for reply within fifth month 1401 320 2401 160 Notice of Appeal 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1451 1,510 2451 55 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional 1501 1,300 2501 650 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1460 130 2460 130 Petitions to the Commissioner 1807 50 2807 50 Processing fee under 37 CFR 1.17(g) 1806 180 2806 180 Submission of Information Disclosure Stmt 8021 40 2801 375 Recording each patent assignment per property (times number of properties) 1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) 1801 750 2801 375 Request for Continued Examination (RCE) 1802 900 2802 900 Request for expedited examination of a design application Other fee (specify) _____	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Total Claims: 111 - 29** = 82 X Fee from below: 18 = Fee Paid: 1476 Independent Claims: 9 - 4** = 5 X Fee from below: 84 = Fee Paid: 420 Multiple Dependent: _____ X Fee from below: _____ = Fee Paid: _____ Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims over original patent 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 1,896.00		SUBTOTAL (3) (\$) 0.00	

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBMITTED BY			Complete (if applicable)	
Name (Print/Type)	Amir H. Raubvogel	Registration No. (Attorney/Agent)	37,070	Telephone (650) 335-7607
Signature				Date April 4, 2003

21495/05938/DOCS/1339833.1



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

DJ
#6 11-9-03
Precedent

APPLICANTS: Jeffrey C. Hawkins, et al.

APPLICATION NO.: 09/976,841

FILING DATE: October 13, 2001

TITLE: Hand-Held Device

EXAMINER: Unknown

GROUP ART UNIT: 2681

ATTY. DKT. NO.: 21495-05938

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PRELIMINARY AMENDMENT

SIR:

Prior to examination of the subject patent application, please add the following
new claims:

- 1 30. In a handheld device that serves as both a data entry device and a wireless
- 2 telephone, a keyboard comprising:
- 3 a plurality of keys arranged in a QWERTY configuration;
- 4
- 5 wherein the plurality of keys comprises at least nine multi-value keys, each
associated with at least a primary value and a numeric secondary value, the at least nine

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420.00 OP/

CASE 5938

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